

**VIET NAM SECURITIES DEPOSITORY  
AND CLEARING CORPORATION**



No: 64/GCNTVLK-9

**SOCIALIST REPUBLIC OF VIET NAM**  
**Independence – Freedom – Happiness**

*Hanoi, 12 October 2026*

**CERTIFICATE OF DEPOSITORY MEMBER**

*First registered as a Depository Member on 13 September 2007*

*Information amended for the ninth time on 12 October 2026*

**The Vietnam Securities Depository and Clearing Corporation hereby certifies that:**

1. Depository Member: **Guotai Haitong Securities (Vietnam) Corp.**
2. Abbreviated name: GTJA
3. Member Code: 061
4. Head Office: P9-10, 1st Floor, Charm Vit Tower, No. 117 Tran Duy Hung Street,  
Trung Hoa Ward, Cau Giay District, Ha Noi City
5. Legal Representative: Mr. Huang Bo - General Director
6. Charter Capital: VND 693,500,000,000.
7. Telephone: 024.3573 0073                      Fax: 024.3573 0088
8. License for the Establishment and Operation of a Securities Company No. 69/UBCK-GPHDKD dated 28 August 2007, issued by the Chairman of the State Securities Commission of Vietnam.

**The Depository Member's information has been amended with effect from 12 October 2026 as follows:**

1. Head Office: P9-10, 1st Floor, Charmvit Tower, No. 117 Tran Duy Hung Street, Yen Hoa Ward, Hanoi, Vietnam.
2. Abbreviated Name: GTHT (VIETNAM)
3. Charter Capital: VND 1,049,233,360,000.

4. Amended Licenses for Establishment and Operation of Securities Company No. 03/GPĐC-UBCK, dated 14 March 2025, and No. 58/GPĐC-UBCK, dated 26 May 2026, issued by the Chairman of the State Securities Commission of Vietnam/.

GENERAL DIRECTOR

(Signed)

Ta Thanh Binh