

**GUOTAI JUNAN SECURITIES
(VIETNAM) CORP.**

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No: 188/CV-GTJAVN

SOCIALIST REPUBLIC OF VIETNAM
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Hanoi, January 16th 2025

PERIODIC INFORMATION DISCLOSURE OF FINANCIAL REPORTS

To: *State Securities Commission of Vietnam*

Vietnam Stock Exchange

Hanoi Stock Exchange

Pursuant to the provisions in Clause 3, Article 14 of Circular No. 96/2020/TT-BTC from the Ministry of Finance dated November 16th 2020 guiding the information disclosure on the stock market, Guotai Junan Securities (Vietnam) Corp. hereby discloses the financial statements for the 4th quarter of 2024 to the Committee and Departments as follows:

Organization name: **GUOTAI JUNAN SECURITIES (VIETNAM) CORP.**

Stock code: IVS

Address: P9-10, 1st floor, Charmvit Tower, 117 Tran Duy Hung Str., Trung Hoa Ward, Cau Giay Dist., Hanoi

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Website: gtjai.com.vn

1. Information disclosure contents:

- Financial statements of the 4th quarter of 2024:

☒ Separate financial statements (Listed company does not have subsidiaries and the superior accounting unit has affiliated units)

☐ Consolidated financial statements (Listed company has subsidiaries)

☐ Combined financial statements (Listed company has affiliated accounting units with separate accountings).

- Cases requiring explanation of the reasons:

+ The auditing organization gives an opinion that is NOT an Unqualified Opinion for the financial statements (for the 2023 audited/ reviewed financial statements):

☐ Yes ☒ No

Explanation document in case of Yes:

☐ Yes ☐ No

+ The profit after tax in the reporting period shows a difference of 5% or more before and after auditing, changing from loss to profit or vice versa (for financial statements audited in 2023):

☐ Yes ☒ No

Explanation document in case of Yes:

☐ Yes ☐ No

+ The profit after corporate income tax in the financial report of the reporting period changes by 10% or more compared with the performance last year:

☒ Yes ☐ No

Explanation document in case of Yes:

☒ Yes ☐ No

+ The profit after tax in the reporting period is negative, transferred from positive last year to negative this year or vice versa:

☐ Yes ☒ No

Explanation document in case of Yes:

☐ Yes ☐ No

This information has been published on the company's website on January 16th 2025 at the link: <https://gtjai.com.vn> of the company.

2. Report on transactions with a value of 35% or more of total assets in 2024.

In case the listed company has transaction arises, please report the following contents in full:

- The transaction information:
- Proportion of transaction value/ total asset value of the enterprise (%) (based on the most recent financial report):.....
- Date of completing the transaction:

We hereby commit that the information disclosed above is true and we are fully responsible for the disclosure contents pursuant to the law.

Attached documents

- Financial statements
- Explanation document

**Legal representative/ Person in charge of
information disclosure**

(Signature, full name, position, seal)



TỔNG GIÁM ĐỐC
Huang Bo